

Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only)

Policy Number: CS129KY.10 Effective Date: April 1, 2025

Table of Contents	Page
Application	1
Coverage Rationale	1
Applicable Codes	2
Description of Services	2
Clinical Evidence	3
U.S. Food and Drug Administration	11
References	11
Policy History/Revision Information	15
Instructions for Use	15

Related Policies

- Bariatric Surgery (for Kentucky Only) .
- Deep Brain and Cortical Stimulation (for Kentucky . Only)
- Implanted Electrical Stimulator for the Spinal Cord • (for Kentucky Only)
- Transcranial Magnetic Stimulation (for Kentucky • Only)

Application

This Medical Policy only applies to the state of Kentucky.

Coverage Rationale

Implantable Vagus Nerve Stimulators

For medical necessity clinical coverage criteria, refer to the InterQual® CP: Procedures:

- Vagus Nerve Stimulation (VNS)
- Vagus Nerve Stimulation (VNS) (Pediatric) •

Click here to view the InterQual[®] criteria.

Implantable vagus nerve stimulators are unproven and not medically necessary for treating all other conditions due to insufficient evidence of efficacy. These conditions include but are not limited to:

- Alzheimer's disease
- Anxiety disorder .
- Autism spectrum disorder .
- Autoimmune disorders •
- Back and neck pain .
- Bipolar disorder
- Bulimia
- Cerebral palsy •

- - Chronic pain syndrome
 - **Cluster headaches** .
 - Depression •
 - Fibromyalgia •
 - Heart failure •
 - Migraines •
 - Morbid obesity •
 - Musculoskeletal disorders

- Narcolepsy
- Obsessive-compulsive • disorder
- Paralysis agitans •
- Sleep disorders •
- Tourette's syndrome •
- Upper limb impairment related to stroke

Noninvasive Trigeminal and Vagus Nerve Stimulators

For medical necessity clinical coverage criteria, refer to the InterQual® CP: Durable Medical Equipment, Trigeminal and Vagus Nerve Stimulator Devices, Noninvasive.

Click here to view the InterQual® criteria.

Instructions for Use

The following devices are unproven and not medically necessary for initial requests due to insufficient evidence of efficacy:

- Transcutaneous (non-implantable vagus nerve stimulation devices) for preventing or treating all indications
 - External or transcutaneous (non-implantable) trigeminal nerve stimulation devices for preventing or treating **all** conditions including but not limited to:
 - o Attention deficit hyperactivity disorder (ADHD)
 - o Depression
 - o Epilepsy

•

o Headache

Note: For vagus nerve blocking for the treatment of obesity, refer to the Medical Policy titled <u>Bariatric Surgery (for Kentucky Only)</u>.

Applicable Codes

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this policy does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by the member specific benefit plan document and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Guidelines may apply.

CPT Code	Description
61885	Insertion or replacement of cranial neurostimulator pulse generator or receiver, direct or inductive coupling; with connection to a single electrode array
61886	Insertion or replacement of cranial neurostimulator pulse generator or receiver, direct or inductive coupling; with connection to 2 or more electrode arrays
64553	Percutaneous implantation of neurostimulator electrode array; cranial nerve
64568	Open implantation of cranial nerve (e.g., vagus nerve) neurostimulator electrode array and pulse generator
64570	Removal of cranial nerve (e.g., vagus nerve) neurostimulator electrode array and pulse generator
	CPT [®] is a registered trademark of the American Medical Association

HCPCS Code	Description
A4541	Monthly supplies for use of device coded at E0733
E0733	Transcutaneous electrical nerve stimulator for electrical stimulation of the trigeminal nerve
E0735	Noninvasive vagus nerve stimulator
E0770	Functional electrical stimulator, transcutaneous stimulation of nerve and/or muscle groups, any type, complete system, not otherwise specified
E1399	Durable medical equipment, miscellaneous
L8679	Implantable neurostimulator, pulse generator, any type
L8680	Implantable neurostimulator electrode, each
L8682	Implantable neurostimulator radiofrequency receiver
L8683	Radiofrequency transmitter (external) for use with implantable neurostimulator radiofrequency receiver
L8685	Implantable neurostimulator pulse generator, single array, rechargeable, includes extension
L8686	Implantable neurostimulator pulse generator, single array, nonrechargeable, includes extension
L8687	Implantable neurostimulator pulse generator, dual array, rechargeable, includes extension
L8688	Implantable neurostimulator pulse generator, dual array, nonrechargeable, includes extension

Description of Services

Vagus nerve stimulation (VNS) is a treatment for epilepsy where electrical impulses are delivered to the brain via the vagus nerve. This involves the implantation of a generator device to send electrical impulses to the cervical portion of the vagus nerve via stimulating leads surgically placed around the vagus nerve in the carotid sheath. The vagus nerve in turn

 Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only)
 Page 2 of 15

 UnitedHealthcare Community Plan Medical Policy
 Effective 04/01/2025

 Proprietary Information of UnitedHealthcare. Copyright 2025 United HealthCare Services, Inc.

sends signals to the brain which stimulate the area of the brain believed to be involved in seizure activity. The mechanism of effect of VNS is currently unclear, but several pathways have been proposed and studied so far, including an increase in the release of neurotransmitters, such as norepinephrine and serotonin, increased cerebral blood flow to the thalamus and cortex and desynchronization of the alpha rhythms, as observed on EEG. The traditional or open-loop VNS with on-off cycles with an on-demand magnet that allows the individual to interrupt the seizure activity by swiping the magnet over the implantable device. Another model is the closed loop VNS, also known as responsive vagal stimulation, which is involved with automatic vagal stimulation in response to an ictal HR increase that serves as a predictor for an impending seizure (Tzadok et al. 2019).

Non-implantable VNS devices [also referred to as n-VNS or transcutaneous VNS (t-VNS)] are being investigated as a noninvasive alternative to implantable VNS for indications such as pain, epilepsy, tinnitus, and depression. An example of this type of device is gammaCore (ElectroCore, LLC) which is a noninvasive handheld prescription device intended to deliver transcutaneous vagus nerve stimulation for the acute treatment of pain associated with episodic cluster headache.

External or transcutaneous trigeminal nerve stimulation (TNS) is a non-invasive therapy that delivers signals to the brain via the trigeminal nerve. TNS is commonly delivered by applying stimulating electrodes on the skin of the forehead. The Monarch external Trigeminal Nerve Stimulation (eTNS) System is being developed to treat several conditions including attention deficit hyperactivity disorder (ADHD), epilepsy, and depression. The Cefaly device is being developed to treat headaches by transcutaneously stimulating the supraorbital and/or infraorbital branches of the trigeminal nerve.

Clinical Evidence

Depression

There is insufficient evidence to support the use of vagus nerve stimulation for depression due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

Bottomley et al. (2019) conducted a systematic review and meta-analysis to provide an update of all studies of adjunctive vagus nerve stimulation (VNS) in treatment resistant depression (TRD), including recent long-term patient-relevant findings. A recent 5-year comparative study prompted this review of its impact in this very severe population. Previous systematic literature reviews (SLR) cited concerns in terms of missing studies or patient duplication. This review looked at these criticisms, assessed all outcomes of longer-term adjunctive VNS in all studies, irrespective of TRD severity, comparing where feasible with treatment-as-usual (TAU). We searched for adult VNS + TAU studies (January 1, 2000, to June 24, 2019). Comparative and single-arm studies were eligible. All reported efficacy, safety, and quality of life (QOL) outcomes were assessed. Where possible, meta-analysis was used to calculate overall pooled effect estimates across studies at several time points. Of 22 identified studies, there were two randomized controlled (RCT), sixteen single-arm and four non-randomized comparative studies. Numerous depression-specific, safety and quality of life (QOL) measures were reported. Meta-analysis was possible for three efficacy (Montgomery-Asberg Depression Rating Scale, Clinician Global Impression-Improvement, Hamilton Rating Scale for Depression) and three safety (serious adverse events, study drop-outs and all-cause mortality) but no QOL measures. Data beyond 2 years was not poolable. Analyses demonstrated that antidepressant benefits improved to 24 months and safety issues were minimal. Heterogeneity was high and statistically significant. There are study limitations. The major limitation was the unavailability of randomized controlled studies and the fact that the available studies did not report the scope of this review. Despite limitations in the evidence base, the comprehensive summary of VNS + TAU outcomes suggest that this treatment shows improving benefit and hope for this very hard-to-treat chronic population. Future studies are needed that involve data collection of QOL outcomes together with more comprehensive safety and efficacy outcomes, especially for TAU alone, with a view to signal the different treatment combinations.

Aaronson et al. (2017) investigated whether adjunctive vagus nerve stimulation (VNS) with treatment as usual in depression has superior long-term outcomes compared with treatment as usual only. This 5-year, prospective, open-label, nonrandomized, observational Treatment-Resistant Depression Registry study was conducted at 61 U.S. sites and included 795 patients who were experiencing a major depressive episode (unipolar or bipolar depression) of at least 2 years' duration or had three or more depressive episodes (including the current episode), and who had failed four or more depression treatments (including ECT). Patients with a history of psychosis or rapid-cycling bipolar disorder were excluded. The primary efficacy measure was response rate, defined as a decrease of \geq 50% in baseline Montgomery-Åsberg Depression Rating Scale (MADRS) score at any post-baseline visit during the 5-year study. Secondary efficacy measures included remission. Patients had chronic moderate to severe depression at baseline. The registry results indicate that the adjunctive VNS group had better clinical outcomes than the treatment-as-usual group, including a significantly higher 5-year cumulative response rate (67.6% compared with 40.9%) and a significantly higher remission rate (cumulative first-time remitters, 43.3% compared with 25.7%). A sub analysis demonstrated that among patients with

 Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only)
 Page 3 of 15

 UnitedHealthcare Community Plan Medical Policy
 Effective 04/01/2025

 Proprietary Information of UnitedHealthcare. Copyright 2025 United HealthCare Services, Inc.

a history of response to ECT, those in the adjunctive VNS group had a significantly higher 5-year cumulative response rate than those in the treatment-as-usual group (71.3% compared with 56.9%). A similar significant response differential was observed among ECT non-responders (59.6% compared with 34.1%). According to the authors, this registry represents the longest and largest naturalistic study of efficacy outcomes in treatment-resistant depression, and it provides additional evidence that adjunctive VNS has enhanced antidepressant effects compared with treatment as usual in this severely ill patient population. The authors indicted there were several important limitations to this registry design. Given ethical concerns about following such a severely ill patient population over a 5-year period, the registry had a naturalistic, observational design and did not randomly assign patients to the treatment groups. Similarly, the treatment assignment in the registry was not blinded, in part because it would have been unethical to implant a sham device for a long duration in severely ill patients.

A Comparative Effectiveness Review was prepared for the Agency for Healthcare Research and Quality (AHRQ) on Nonpharmacologic Interventions for Treatment-Resistant Depression in Adults. The report identified only one study (Rush et al., 2005a) comparing VNS to sham, conducted in a Tier 1 major depressive disorder (MDD)/bipolar mix population. According to the AHRQ report, the majority of measures used by this study found no difference between VNS and sham on changes in depressive severity or rates of response and remission. Since only a single study was identified for this comparison, further assessment by key variables was not possible (Gaynes et al., 2011).

In a 2020 guidance document, the National Institute for Health and Care Excellence (NICE) stated that the current evidence on the safety raises no major safety concerns, but there are frequent well-recognized side effects. Evidence on its efficacy is limited in quality. Therefore, this procedure should be used only with special arrangements for clinical governance, consent and audit or research. It should be used only in patients with treatment-resistant depression. NICE encourages further research into implanted vagus nerve stimulation for treatment-resistant depression, in the form of randomized controlled trials with a placebo or sham stimulation arm. Studies should report details of patient selection. Outcomes should include validated depression rating scales, patient-reported quality of life, time to onset of effect and duration of effect, and any changes in concurrent treatments. (NICE, 2020).

Clinical Practice Guidelines

American Psychiatric Association (APA)

The 2019 American Psychological Association (APA) clinical practice guidelines providing recommendations for the treatment of depressive disorders, including major depression, subsyndromal depression, and persistent depressive disorder across three age cohorts: children, adolescents, adults and older adults; The panel examined the efficacy of psychological treatments, pharmacotherapy and complementary and alternative medicine treatments. While there was a conditional recommendation for use for complementary and alternative treatments in adults, VNS was not listed as a specific modality in the recommended list.

Canadian Network for Mood and Anxiety Treatments (CANMAT)

In 2024, the Canadian Network for Mood and Anxiety Treatments (CANMAT) revised the 2016 evidence-based clinical auidelines for the treatment of major depressive disorder (MMD) in adult auidelines by updating the evidence and recommendations. The scope of the 2024 guidelines remains the management of MDD in adults, with a target audience of community-based psychiatrists and other mental health professionals. Using the guestion-answer format, the authors conducted a systematic literature search focusing on systematic reviews and meta-analyses. Evidence was graded using CANMAT-defined criteria for level of evidence. Recommendations for lines of treatment were based on the quality of evidence and clinical expert consensus. "Neurostimulation Treatments" is the eighth of 8 sections of the 2024 guidelines. Neuromodulation, also known as neurostimulation refers to treatments that change central nervous system activity through the application of electrical or magnetic stimulation of the brain. These treatments are most often used when initial therapies have not been effective. Some neuromodulation treatments are noninvasive [e.g., ECT, magnetic seizure therapy (MST), repetitive transcranial magnetic stimulation (rTMS), and transcranial direct current stimulation (tDCS)], while others involve surgical placement of electrodes [e.g., vagus nerve stimulation (VNS)] and deep brain stimulation. The authors concluded that there is increasing evidence for efficacy, tolerability, and safety of neurostimulation treatments. ECT is a first line for major depressive disorder (DRE) and rTMS is a first-line recommendation for patients with treatment resistant depression (TRD). ECT remains a second-line treatment for patients for difficult to treat depression (DTD). Third-line recommendations include tDCS for mild-moderate MDE and VNS for DTD. MST and DBS are still considered investigational treatments for DTD (Lam et al., 2024).

Other Conditions

The use of vagus nerve stimulation has been investigated for other conditions including Alzheimer's disease (Merrill et al., 2006), anxiety (George et al., 2008), autism spectrum disorder (Levy et al., 2010), obsessive-compulsive disorder (Rapinesi et al., 2019), chronic pain (Costa et al. (2024); Napadow et al., 2012), headaches (Pintea et al., 2017; Cecchini

Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only) UnitedHealthcare Community Plan Medical Policy Page 4 of 15 Effective 04/01/2025

Proprietary Information of UnitedHealthcare. Copyright 2025 United HealthCare Services, Inc.

et al., 2009), sleep disorders (Jain et al., 2014), heart disease/congestive heart failure (Nearing et al. 2021); De Ferrari et al., 2017; Gold et al. 2016; Zannad et al. 2015; Premchand et al. 2016), asthma (Steyn et al., 2013; Miner et al., 2012), fibromyalgia (Lange et al., 2011), upper limb impairment due to stroke (ECRI, 2021; Dawson et al., 2020, 2021; Wang et al. 2023), autoimmune and musculoskeletal disorders (Courties et al., 2021) and other psychiatric disorders (Cimpianu et al., 2017). However, because of limited studies, small sample sizes and weak study designs, there is insufficient data to conclude that vagus nerve stimulation is safe and/or effective for treating these indications. Further clinical trials demonstrating the clinical usefulness of vagus nerve stimulation are necessary before it can be considered proven for these conditions.

Transcutaneous (Non-Implantable) Vagus Nerve Stimulation

There is insufficient evidence to support the use of Transcutaneous (Non-Implantable) Vagus Nerve Stimulation due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

Cluster Headache

There is insufficient evidence to support the use of transcutaneous vagus nerve stimulation for Cluster Headaches (CH) due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

A Hayes report (2020, updated 2023) for the use of gammaCore (electroCore Medical LLC) noninvasive vagus nerve stimulator for the acute treatment or prevention of episodic and chronic cluster headaches (eCH and cCH) indicates that a small, very-low-quality body of evidence does not allow for conclusions to be drawn regarding the safety and efficacy of nVNS with the gammaCore device for prevention or treatment of CH.

Fernández-Hernando et al. (2024) conducted a systematic review to evaluate the effectiveness of non-invasive neuromodulation of the vagus nerve for the management of CH. Out of 1003 articles, there were only nine articles included in the systematic review. The results showed some positive effects using n-VNS as a treatment for cluster headache, particularly regarding cervical neuromodulation of the vagus nerve, nVNS was notable compared to sham therapy for the treatment of eCH but not for the treatment of cCH in one of the studies. Another study offered positive results regarding a reduction in chronic cluster headache attack frequency within two weeks after its addition to SoC, showing significantly higher response rates of $\geq 25\%$, $\geq 50\%$, and $\geq 75\%$ than SoC alone. The third study provided enough details regarding nVNS-treated (eCH, n = 38; cCH, n = 22) and 73 sham-treated (eCH, n = 47; cCH, n = 26) subjects. A response was achieved in 26.7% of the nVNS-treated subjects and 15.1% of the sham-treated subjects (p =0.1). The response rate was significantly higher with nVNS than with the sham treatment for the eCH cohort (nVNS, 34.2%; sham, 10.6%; *p* = 0.008), but not for the cCH cohort (nVNS, 13.6%; sham, 23.1%; *p* = 0.48). The sustained response rates were significantly higher with nVNS for the eCH cohort (p = 0.008) and for the total sample (p = 0.04). Study limitations included low-quality methodologies, high risk of bias and a lack of similar designs amongst the studies. The systematic review found moderate-to-high-quality evidence supporting that n-VNS and cervical n-VNS may have some positive effects at the end of the treatment being effective to relieve the frequency and intensity of cluster headaches. The poor quantity of studies offered and the lack of homogeneity in the study protocols did not allow enough data for a meta-analysis. Additional research is needed to better evaluate these results. (Gaul 2017, Goadsby 2018 and Silberstein 2016 are included in this study).

De Coo et al. (2020) conducted a meta-analysis on two randomized, double-blind, sham-controlled trials (ACT1, ACT2) that evaluated the differential efficacy, tolerability, and application options non-invasive vagus nerve stimulation (nVNS) as an acute treatment in the two different cluster headache subtypes. Main outcome measures were the primary endpoints of each study. This was the proportion of participants whose first treated attack improved from moderate (2), severe (3), or very severe (4) pain intensity to mild (1) or nil (0) for ACT1 and the proportion of treated attacks whose pain intensity improved from 2-4 to 0 for ACT2. The study population included 225 participants (episodic: n = 112; chronic: n = 113) from ACT1 (n = 133) and ACT2 (n = 92) in the nVNS (n = 108) and sham (n = 117) groups. Interaction was shown between treatment group and cluster headache subtype (p < 0.05). nVNS was superior to sham in episodic but not chronic cluster headache (both endpoints p < 0.01). Only four patients discontinued the studies due to adverse events. Adverse events were mild, and there were no safety concerns during the trial. While nVNS is a well-tolerated and effective acute treatment for episodic cluster headache, studies evaluating long-term outcomes are needed.

Goadsby et al. (2018) compared non-invasive vagus nerve stimulation (nVNS) with a sham device for acute treatment in patients with episodic or chronic cluster headache (CH) (eCH, cCH). After completing a 1-week run-in period, subjects were randomly assigned (1:1) to receive nVNS or sham therapy during a 2-week double-blind period. The primary efficacy endpoint was the proportion of all treated attacks that achieved pain-free status within 15 minutes after treatment initiation, without rescue treatment. The Full Analysis Set comprised 48 nVNS-treated (14 eCH, 34 cCH) and 44 sham-treated (13 eCH, 31 cCH) subjects. For the primary endpoint, nVNS (14%) and sham (12%) treatments were not significantly different

Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only)
Page 5 of 15
UnitedHealthcare Community Plan Medical Policy
Proprietary Information of UnitedHealthcare. Copyright 2025 United HealthCare Services, Inc.
Page 5 of 15
Effective 04/01/2025

for the total cohort. In the eCH subgroup, nVNS (48%) was superior to sham (6%). No significant differences between nVNS (5%) and sham (13%) were seen in the cCH subgroup. Combining both eCH and cCH patients, nVNS was no different to sham. The authors concluded that for the treatment of CH attacks, nVNS was superior to sham therapy in eCH but not in cCH. According to the authors, this study had limitations, including its short duration, which did not allow for evaluation of continued/change in response with long-term nVNS therapy. Another study limitation was the imbalance between CH subtypes, with the eCH subgroup comprising < 30% of subjects. During the open-label period, subjects could alter their CH treatment regimens by adding prophylactic therapies, or changing doses of existing treatments, or both. According to the authors, this stipulation confounded the results, making it impossible to discern whether changes in efficacy outcomes were attributable to nVNS therapy or to other changes in treatment during this period.

Gaul et al. (2017) evaluated additional patient-centric outcomes, including the time to and level of therapeutic response, in a post hoc analysis of the PREVA study (Gaul et al., 2016). After a 2-week baseline phase, 97 patients with chronic cluster headache entered a 4-week randomized phase to receive non-invasive vagus nerve stimulation plus standard of care (nVNS + SoC) (n = 48) or SoC alone (n = 49). All 92 patients who continued into a 4-week extension phase received nVNS + SoC. Compared with SoC alone, nVNS + SoC led to a significantly lower mean weekly attack frequency by week 2 of the randomized phase; the attack frequency remained significantly lower in the nVNS + SoC group through week 3 of the extension phase. Attack frequencies in the nVNS + SoC group were significantly lower at all study time points than they were at baseline. Response rates were significantly greater with nVNS + SoC than with SoC alone when response was defined as attack frequency reductions of $\geq 25\%$, $\geq 50\%$, and $\geq 75\%$ from baseline. The authors concluded that prophylactic nVNS led to rapid, significant, and sustained reductions in chronic cluster headache attack frequency within 2 weeks after its addition to SoC and was associated with significantly higher $\geq 25\%$, $\geq 50\%$, and $\geq 75\%$ response rates than SoC alone. The rapid decrease in weekly attack frequency justifies a 4-week trial period to identify responders to nVNS, with a high degree of confidence, among patients with chronic cluster headache. Of note, the 100% response rate was 8% with nVNS + SoC and 0% with SoC alone. This study examined the prophylactic use of non-invasive vagus nerve stimulation but did not control for placebo effect and lacked data beyond four weeks.

Gaul et al. (2016) evaluated non-invasive vagus nerve stimulation (nVNS) as an adjunctive prophylactic treatment of chronic cluster headache (CH) in a prospective, open-label, randomized study (PREVA Trial) that compared adjunctive prophylactic nVNS (n = 48) with standard of care (SoC) alone [control (n = 49)]. A two-week baseline phase was followed by a four-week randomized phase (SoC plus nVNS vs control) and a four-week extension phase (SoC plus nVNS). The primary end point was the reduction in the mean number of CH attacks per week. Response rate, abortive medication use, and safety/tolerability were also assessed. During the randomized phase, individuals in the intent-to-treat population treated with SoC plus nVNS (n = 45) had a significantly greater reduction in the number of attacks per week vs controls (n = 48) for a mean therapeutic gain of 3.9 fewer attacks per week. Higher \ge 50% response rates were also observed with SoC plus nVNS is a well-tolerated novel treatment for chronic CH, offering clinical benefits beyond those with standard of care. Study limitations include the lack of a placebo or sham device, an open-label study design, the short treatment duration, and the use of patient-reported outcomes.

Silberstein et al. (2016a) evaluated non-invasive vagus nerve stimulation (nVNS) as an acute cluster headache (CH) treatment. One hundred fifty subjects were enrolled and randomized (1:1) to receive nVNS or sham treatment for ≤ 1 month during a double-blind phase; completers could enter a 3-month nVNS open-label phase. The primary end point was response rate, defined as the proportion of subjects who achieved pain relief (pain intensity of 0 or 1) at 15 minutes after treatment initiation for the first CH attack without rescue medication use through 60 minutes. Secondary end points included the sustained response rate (15-60 minutes). Sub-analyses of episodic cluster headache (eCH) and chronic cluster headache (cCH) cohorts were prespecified. The intent-to-treat population comprised 133 subjects: 60 nVNStreated (eCH, n = 38; cCH, n = 22) and 73 sham-treated (eCH, n = 47; cCH, n = 26). A response was achieved in 26.7% of nVNS-treated subjects and 15.1% of sham-treated subjects. Response rates were significantly higher with nVNS than with sham for the eCH cohort (nVNS, 34.2%; sham, 10.6%) but not the cCH cohort (nVNS, 13.6%; sham, 23.1%). Sustained response rates were significantly higher with nVNS for the eCH cohort and total population. Adverse device effects (ADEs) were reported by 35/150 (nVNS, 11; sham, 24) subjects in the double-blind phase and 18/128 subjects in the open-label phase. No serious ADEs occurred. The authors indicated that non-invasive vagus nerve stimulation is a safe and well-tolerated treatment that represents a novel and promising option for eCH. According to the authors, study limitations include the analysis of the cCH cohort as part of the primary end point, the need for careful interpretation of sub-analyses results, challenges with blinding inherent in medical device studies, and the time to first measurement of response used to define the primary efficacy end point.

Migraine Headache

There is insufficient evidence to support the use of the noninvasive vagus nerve stimulation for migraine headaches due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only) UnitedHealthcare Community Plan Medical Policy

Proprietary Information of UnitedHealthcare. Copyright 2025 United HealthCare Services, Inc.

Song et al. (2023) conducted a systematic review to evaluate the therapeutic effects and clinical application of n-VNS for the acute and preventative treatment of migraine headaches. There were 6 studies included in the study which included 845 patients with chronic migraine, episodic migraine, or other non-specified subtypes. Meta-analysis shows that non-invasive cervical vagus nerve stimulation (n-cVNS) significantly impacted \geq 50% responder rate (OR, 1.64; 95% CI, 1.1 to 2.47; p = 0.02), but had no significant effect on reducing migraine days (MD, -0.46; 95% CI, -1.21 to 0.29; p = 0.23) and headache days (MD, -0.68; 95% CI, -1.52 to 0.16; p = 0.11). In contrast, low-frequency non-invasive auricular vagus nerve stimulation (n-aVNS) was found to significantly reduce the number of migraine days (MD, -1.8; 95% CI, -3.34 to -0.26; p = 0.02) and headache intensity (SMD, -0.7; 95% CI, -1.23 to -0.17; p = 0.009), but not the number of acute medication days per month (MD, -1.1; 95% CI, -3.84 to 1.64; p = 0.43). In addition, n-cVNS was found safe and well-tolerated in most patients. Limitations included a small sample size, which limited the ability to conduct a sensitivity analysis. There was also a limited number of studies using a n-aVNS which was not sham controlled. The author's concluded that while a n-VNS can significantly decrease migraines or number of headache days, n-cVNS for migraine markedly increased \geq 50% responder rate, and low-frequency n-aVNS could significantly reduce headache intensity. The findings support the potential of n-VNS to reduce migraines and improve the persons quality of life.

Najib et al. (2022) conducted a prospective randomized, multi-center, double-blind, parallel, sham-controlled study, designed for comparison of two parallel groups, gammaCore[®]-Sapphire (active treatment) and a sham (inactive) treatment to evaluate the efficacy and safety of non-invasive vagus nerve stimulation for migraine prevention. The study period began with a four-week run-in period, during which there was no investigational treatment. The purpose of the runin period was to establish a baseline of the subject's headache/migraine history for longitudinal comparison. After completing a 4-week diary run-in period, adults who had migraine with or without aura were randomly assigned to receive active non-invasive vagus nerve stimulation or sham therapy during a 12-week double-blind period. Of 336 enrolled participants, 113 (active, n = 56; sham, n = 57) completed \geq 70 days of the double-blind period and were \geq 66% adherent with treatment, comprising the prespecified modified intention-to-treat population. The COVID-19 pandemic led to early trial termination, and the population was 60% smaller than the statistical target for full power. Mean reduction in monthly migraine days (primary endpoint) was 3.12 for the active group and 2.29 days for the sham group (difference, -0.83; p = 0.2329). Responder rate (i.e., the percentage of participants with $a \ge 50\%$ reduction in migraine days) was greater in the active group (44.87%) than the sham group (26.81%; p = 0.0481). Prespecified subgroup analysis suggested that participants with aura responded favorably. No serious device-related adverse events were reported. Study limitations included the impact of the Covid pandemic which included: difficulty in blinding of devices., research was suspended at several of the sites, migraine load may be affected making it difficult to identify the effect of the intervention, frequency, severity, and characteristics were also affected by the pandemic as well as a decrease in > 20% of participants. The sham device in the Premium I study was found to have some vagal stimulation therefore a different device was used in the Premium II which also produced a strong response. The authors indicate that results suggest the clinical value of noninvasive vagus nerve stimulation for migraine prevention, mainly for patients who have migraine with aura, and reinforce the well-established safety and tolerability profile of this therapy. The authors indicated that the COVID-19 pandemic negatively impacted their ability to fully enroll PREMIUM II, and their analysis indicates they would have likely reached statistical significance on all of predefined endpoints had the study reached its original enrollment targets. Additional studies are needed to confirm these results that were affected by the pandemic.

A 2021 ECRI clinical evidence assessment for gammaCore Sapphire for treating and preventing migraines indicated that gammaCore is safe and may be effective for achieving pain resolution in some patients with episodic migraines; the findings were based on one systematic review with too few events to be conclusive. It cannot be determined if gammaCore provides a benefit over sham treatment for improving partial pain relief, abortive medication use, or migraine prevention because the SR assessed too few patients. No studies assessed non-pain symptoms (e.g., light sensitivity, nausea), and no studies compared gammaCore with implanted VNS or other treatments, such as trigeminal nerve stimulation or transcranial magnetic stimulation. Additional RCTs are needed to assess gammaCore's effectiveness for treating and preventing chronic and episodic migraines.

Diener et al. (2019) conducted a multicenter trial Introduction evaluating non-invasive vagus nerve stimulation (nVNS; gammaCore[®]) and the potential to prevent migraine days in patients with migraine based on mechanistic rationale and pilot clinical data. The PREMIUM trial (NCT02378844) included a 4-week run-in period, a 12-week double-blind period of randomized treatment with nVNS or sham, and a 24-week open-label period of nVNS. Patients were to administer two 120-second stimulations bilaterally to the neck three times daily (6-8 hours apart). Of the 477 enrolled patients, 332 comprised the intent-to-treat (ITT) population. Mean reductions in migraine days per month (primary outcome) were 2.26 for nVNS (n = 165; baseline, 7.9 days) and 1.80 for sham (n = 167; baseline, 8.1 days) (p = 0.15). Results were similar across other outcomes. Upon observation of suboptimal adherence rates, post hoc analysis of patients with $\ge 67\%$ adherence per month demonstrated significant differences between nVNS (n = 138) and sham (n = 140) for outcomes including reduction in migraine days (2.27 vs. 1.53; p = 0.043); therapeutic gains were greater in patients with aura than in those without aura. Most nVNS device-related adverse events were mild and transient, with application site discomfort

 Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only)
 Page 7 of 15

 UnitedHealthcare Community Plan Medical Policy
 Effective 04/01/2025

 Proprietary Information of UnitedHealthcare. Copyright 2025 United HealthCare Services, Inc.

being the most common. Results indicated that preventive nVNS treatment in episodic migraine was not superior to sham stimulation in the ITT population. The "sham" device inadvertently provided a level of active vagus nerve stimulation. Post hoc analysis showed significant effects of nVNS in treatment-adherent patients. Study limitations include vagal activity of the sham device, the use of bilateral stimulations and suboptimal subject adherence to the TID treatment regimen. Future studies are needed that include using an inactive sham device, unilateral stimulation, and patients with a higher headache burden.

Tassorelli et al. (2018) evaluated the efficacy, safety, and tolerability of noninvasive vagus nerve stimulation (nVNS; gammaCore; electroCore, LLC,) for the acute treatment of migraine in a multicenter, double-blind, randomized, shamcontrolled trial. A total of 248 participants with episodic migraine with/without aura were randomized to receive nVNS or sham within 20 minutes from pain onset. Participants were to repeat treatment if pain had not improved in 15 minutes. nVNS (n = 120) was superior to sham (n = 123) for pain freedom at 30 minutes (12.7% vs 4.2%) and 60 minutes (21.0% vs 10.0%) but not at 120 minutes (30.4% vs 19.7%) after the first treated attack. A post hoc repeated-measures test provided further insight into the therapeutic benefit of nVNS through 30, 60, and 120 minutes. nVNS demonstrated benefits across other endpoints including pain relief at 120 minutes and was safe and well-tolerated. The authors concluded that this randomized sham-controlled trial supports the abortive efficacy of nVNS as early as 30 minutes and up to 60 minutes after an attack. Findings also suggest effective pain relief, tolerability, and practicality of nVNS for the acute treatment of episodic migraine. According to the authors, the role of nVNS in migraine therapy is being further explored in ongoing large-scale, randomized, sham-controlled trials with long-term follow-up.

Silberstein et al. (2016b) evaluated the feasibility, safety, and tolerability of noninvasive vagus nerve stimulation (nVNS) for the prevention of chronic migraine (CM) attacks. In this prospective, multicenter, double-blind, sham-controlled pilot study of nVNS in CM prophylaxis, adults with CM (\geq 15 headache d/mo) entered the baseline phase (1 month) and were subsequently randomized to nVNS or sham treatment (2 months) before receiving open-label nVNS treatment (6 months). The primary endpoints were safety and tolerability. Efficacy endpoints in the intent-to-treat population included change in the number of headache days per 28 days and acute medication use. Fifty-nine participants (mean age, 39.2 years; mean headache frequency, 21.5 d/mo) were enrolled. During the randomized phase, tolerability was similar for nVNS (n = 30) and sham treatment (n = 29). Most adverse events were mild/moderate and transient. Mean changes in the number of headache days were -1.4 (nVNS) and -0.2 (sham). Twenty-seven participants completed the open-label phase. For the 15 completers initially assigned to nVNS, the mean change from baseline in headache days after 8 months of treatment was -7.9. The authors concluded that therapy with nVNS was well-tolerated with no safety issues. Study limitations included the small sample size, blinding challenges, and high discontinuation rate. According to the authors, larger sham-controlled studies are needed.

In a monocentric, randomized, controlled, double-blind study, Straube et al. (2015) assessed the efficacy and safety of transcutaneous stimulation of the auricular branch of the vagal nerve (t-VNS) in the treatment of chronic migraine. After one month of baseline, chronic migraine patients were randomized to receive 25 Hz or 1 Hz stimulation of the sensory vagal area at the left ear by a handhold battery driven stimulator for 4 h/day for 3 months. Headache days per 28 days were compared between baseline and the last month of treatment and the number of days with acute medication was recorded. The Headache Impact Test (HIT-6) and the Migraine Disability Assessment (MIDAS) questionnaires were used to assess headache-related disability. Of 46 randomized patients, 40 finished the study (per protocol). In the per protocol analysis, patients in the 1 Hz group had a significantly larger reduction in headache days per 28 days than patients in the 25 Hz group. 29.4 % of the patients in the 1 Hz group had a \geq 50 % reduction in headache days vs. 13.3 % in the 25 Hz group. HIT-6 and MIDAS scores were significantly improved in both groups, without group differences. There were no serious treatment-related adverse events. The authors concluded that treatment of chronic migraine by t-VNS at 1 Hz was safe and effective. This study was limited by a small sample size.

The National Institute for Health and Care Excellence (NICE) has published a guideline addressing transcutaneous stimulation of the cervical branch of the vagus nerve for cluster headache and migraine. The guideline states that current evidence on the safety of transcutaneous stimulation of the cervical branch of the vagus nerve for cluster headache and migraine raises no major concerns. The evidence on efficacy is limited in quantity and quality. Therefore, this procedure should only be used with special arrangements for clinical governance, consent, and audit or research (NICE, 2016).

NICE 2019 gammaCore for cluster headache recommendations:

- Evidence supports the case for adopting gammaCore to treat cluster headache in the NHS. gammaCore reduces the frequency and intensity of cluster headache attacks and improves quality of life.
- gammaCore is not effective in everyone with cluster headache. Treatment with gammaCore should only continue for people whose symptoms reduce in the first 3 months.

Clinical Practice Guidelines

American Headache Society (AHS)

The AHS Position Statement On Integrating New Migraine Treatments Into Clinical Practice indicates the FDA has cleared:

- Electrical trigeminal nerve stimulation for the acute and preventive treatment of migraine.
- Noninvasive vagus nerve stimulation for the acute treatment of migraine; Patients who prefer nondrug therapies and those who have failed to respond to, have contraindications to, or poor tolerability with pharmacotherapy may be candidates for neuromodulation.

Although the efficacy and safety of neuromodulation is supported by positive results from clinical trials, the use of neuromodulatory devices in clinical practice has been limited. Determinations regarding the precise role of neuromodulation in an overall treatment plan must be individualized (Ailani et al. 2021).

The AHS guideline on the treatment of cluster headache does not include specific recommendations for noninvasive vagus nerve stimulation. The guideline notes that future sham-controlled blinded trials are warranted to elucidate the efficacy and safety of nVNS for the treatment of cluster headache (Robbins et al., 2016).

Other Conditions

Transcutaneous vagus nerve stimulation has been investigated for other conditions including atrial fibrillation (Stavrakis et al., 2015; 2020), epilepsy (Lampros et al., 2021; Barbella et al, 2018; Bauer et al., 2016), depression (Tan et al., 2023; Liu et al., 2016; Fang et al., 2016; Hein, et al., 2013; Rong, et al., 2016) frequent premature ventricular contractions (Liu et al. 2024), impaired glucose tolerance (Huang et al., 2014), schizophrenia (Osoegawa et al., 2018), tinnitus (Fernández-Hernando et al., 2023; Ylikoski et al., 2017; Kreuzer et al., 2014), sleep quality (Jackowska et al. 2022). Due to limited studies, small sample sizes and weak study designs, there is insufficient data to conclude that transcutaneous vagus nerve stimulation is safe and/or effective for treating these indications. Further clinical trials demonstrating the clinical usefulness of these devices are necessary before it can be considered proven for these conditions.

External or Transcutaneous Trigeminal Nerve Stimulation

There is insufficient evidence to support the use of External or Transcutaneous Trigeminal Nerve Stimulation due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

A 2023 ECRI Clinical Evidence Assessment for external trigeminal nerve stimulation for treating migraine headache indicates that the external trigeminal nerve stimulation (eTNS) reduces pain and improves quality of life compared with sham stimulation or in combination with migraine medication, based on evidence from a systematic review and meta-analysis. Whether these benefits are maintained, and which treatment protocols and treatment frequency yield these benefits is uncertain from available evidence. Based on the low-quality evidence, the use of eTNS cannot be determined.

Stanak et al. (2020) performed a systematic review to analyze the effectiveness and safety of the eTNS for the prevention and acute treatment of migraine attacks in episodic and chronic migraine patients. The literature search from four databases that yielded 433 citations and additional seven citations were found via hand-search. Two randomized placebocontrolled trials and five prospective case series were included in the analysis. Results concerning prevention, statistically significant differences were found with respect to reduction of migraine attacks (0.67 less migraine attacks per month), migraine days (1.74 less migraine days per month), headache days (2.28 less headache days per month), and acute antimigraine drug intake (4.24 less instances of acute drug intake per month). Concerning acute treatment, statistically significant differences were found with respect to pain reduction on a visual analogue scale at ½/24 h post-acute treatment (1.68/1.02/1.08 improvement, respectively). No serious adverse events happened in any of the studies. E-TNS has the potential to improve migraine symptoms, but the quality of evidence is low. High quality comparative data, studies with larger sample sizes, and studies with standard and relevant primary outcome parameters are needed.

Gil-López et al. (2020) conducted a randomized controlled trial to determine the long-term efficacy and tolerability of external trigeminal nerve stimulation (ETNS) in patients with focal drug-resistant epilepsy (DRE). Also, to explore whether its efficacy depends on the epileptogenic zone (frontal or temporal), and its impact on mood, cognitive function, quality of life, and trigeminal nerve excitability. Forty consecutive patients with frontal or temporal DRE, unsuitable for surgery, were randomized to ETNS or usual medical treatment. Participants were evaluated at 3, 6 and 12 months for efficacy, side effects, mood scales, neuropsychological tests, and trigeminal nerve excitability. Subjects had a median of 15 seizures per month and had tried a median of 12.5 antiepileptic drugs. At 12 months, the percentage of responders was 50% in ETNS group and 0% in control group. Seizure frequency in ETNS group decreased by -43.5% from baseline. Temporal epilepsy subgroup responded better than frontal epilepsy subgroup (55.56% vs. 45.45%, respectively). Median stimulation intensity was 6.2 mA. ETNS improved quality of life, but not anxiety or depression. Long-term ETNS

Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only) UnitedHealthcare Community Plan Medical Policy Page 9 of 15 Effective 04/01/2025

Proprietary Information of UnitedHealthcare. Copyright 2025 United HealthCare Services, Inc.

affected neither neuropsychological function, but not trigeminal nerve excitability. No serious side effects were observed. According to the authors, ETNS is an effective and well-tolerated therapy for focal DRE. Patients with temporal epilepsy responded better than those with frontal epilepsy. Future studies with larger populations are needed to define its role compared to other neurostimulation techniques.

In a systematic review of clinical trials, Reuter et al. (2019) assessed the scientific rigor and clinical relevance of the available data to inform clinical decisions about non-invasive neuromodulation. This analysis compared study designs using recommendations of the International Headache Society for pharmacological clinical trials, the only available guidelines for migraine and cluster headache. Pivotal studies were identified for the three non-invasive neuromodulation therapies with regulatory clearance for migraine and/or cluster headache [i.e., non-invasive vagus nerve stimulation (nVNS), single-transcranial magnetic stimulation (sTMS) and external trigeminal nerve stimulation (e-TNS)]. Therapeutic effects on the pain-free response rate at 2 hours were comparable among the three pivotal studies of acute treatment, with significance (vs sham) demonstrated for sTMS (active, 39%; sham, 22%; p = 0.0179) but not for nVNS (active, 30.4%; sham, 19.7%; p = 0.067) or e-TNS (active, 19%; sham, 8%; p = 0.136). Non-invasive vagus nerve stimulation studies demonstrated the most consistent adherence to available guidelines. The scope of this systematic review was limited by the heterogeneity among the clinical trials analyzed and the unavailability of many of the study results, which precluded a formal systematic meta-analysis of all identified studies. This heterogeneity in the pivotal studies of nVNS, e-TNS, and sTMS makes the comparison of these devices and their efficacy outcomes difficult.

McGough et al. (2019) conducted a blinded sham-controlled trial to assess the efficacy and safety of trigeminal nerve stimulation (TNS) for attention-deficit/hyperactivity disorder (ADHD) and potential changes in brain spectral power using resting-state quantitative electroencephalography. Sixty-two children 8 to 12 years old, with full-scale IQ of at least 85 and Schedule for Affective Disorders and Schizophrenia-diagnosed ADHD, were randomized to 4 weeks of nightly treatment with active or sham TNS, followed by 1 week without intervention. Assessments included weekly clinician-administered ADHD Rating Scales (ADHD-RS) and Clinical Global Impression (CGI) scales and quantitative electroencephalography at baseline and week 4. ADHD-RS total scores showed significant group-by-time interactions. CGI-Improvement scores also favored active treatment. Resting-state quantitative electroencephalography showed increased spectral power in the right frontal midline frequency bands with active TNS. The study found that only slightly more than half of those receiving therapy had clinically meaningful improvement and a virtual lack of clinically meaningful adverse events. The authors concluded that this study demonstrates TNS efficacy for ADHD in a blinded sham-controlled trial, with estimated treatment effect size similar to non-stimulants. According to the authors, additional research should examine treatment response durability and potential impact on brain development with sustained use.

Chou et al. (2019) assessed the safety and efficacy of external trigeminal nerve stimulation for acute pain relief during migraine attacks with or without aura via a sham-controlled trial. This was a double-blind, randomized, sham-controlled study conducted across three headache centers in the United States. Adult patients who were experiencing an acute migraine attack with or without aura were recruited on site and randomly assigned 1:1 to receive either verum or sham external trigeminal nerve stimulation treatment for 1 hour. Neurostimulation was applied via the e-TNS Cefaly device. Pain intensity was scored using a visual analogue scale (0 = no pain to 10 = maximum pain). The primary outcome measure was the mean change in pain intensity at 1 hour compared to baseline. A total of 106 patients were randomized and included in the intention-to-treat analysis (verum: n = 52; sham: n = 54). The primary outcome measure was significantly more reduced in the verum group than in the sham group. With regards to migraine subgroups, there was a significant difference in pain reduction between verum and sham for 'migraine without aura' attacks. For 'migraine with aura' attacks, pain reduction was numerically greater for verum versus sham, but did not reach significance. No serious adverse events were reported, and five minor adverse events occurred in the verum group. The authors concluded that one-hour treatment with external trigeminal nerve stimulation resulted in significant headache pain relief compared to sham stimulation and was well tolerated, suggesting it may be a safe and effective acute treatment for migraine attacks. According to the authors, study limitations included the following: there was a small sample size and unbalanced baseline characteristics between the verum and sham groups for migraine type, migraine duration, and prior acute medication use. These differences in baseline characteristics were subsequently accounted for in a post hoc ANCOVA analysis, without modifying the significance of the treatment effect defined by the primary outcome.

Generoso et al. (2019) examined the effects of trigeminal nerve stimulation (TNS) in major depressive disorder (MDD) after a 10-day experimental protocol. This was a randomized, double blind, and sham-controlled phase II study with 24 patients with severe MDD. Patients underwent a 10-day intervention protocol and were assessed with the 17-item Hamilton Depression Rating Scale (HDRS-17) at following three observation points: baseline (T1), after 10 days (T2), and after one month of the last stimulation session (T3). Main clinical outcome analysis of variance (ANOVA) was performed. Patients in the active group presented a mean reduction of 36.15% in depressive symptoms after the stimulation protocol. There was a significant interaction between group and time regarding HDRS-17 scores. Post hoc analyses exhibited a statistically significant difference between active and sham group symptoms at T2 and T3, which highlights the sustained

 Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only)
 Page 10 of 15

 UnitedHealthcare Community Plan Medical Policy
 Effective 04/01/2025

 Proprietary Information of UnitedHealthcare. Copyright 2025 United HealthCare Services, Inc.

amelioration of depressive symptoms. The authors concluded that this study found improvement of depressive symptoms for patients undergoing a 10-day stimulation protocol of TNS, and this was sustained after one month of follow-up. The authors indicated that the study had several limitations such as a relatively small sample size and no long-term follow-up.

Boon et al. (2018) conducted a systematic review on the currently available neurostimulation modalities primarily with regard to effectiveness and safety for drug-resistant epilepsy (DRE). The authors found that there is insufficient data to support the efficacy of trigeminal nerve stimulation (TNS) for DRE. According to the authors, additional data collection on potentially promising noninvasive neurostimulation modalities such as TNS is warranted to evaluate its therapeutic benefit and long-term safety.

Clinical Practice Guidelines

American Academy of Pediatrics

The American Academy of Pediatrics (based on the above McGough (2019) updated their clinical practice guideline for the diagnosis, evaluation, and treatment of ADHD in children and adolescents. The revised guideline states that external trigeminal nerve stimulation (eTNS) cannot be recommended as a treatment for ADHD because supporting evidence is sparse and in no way approaches the robust strength of evidence documented for established medication and behavioral treatments for ADHD (Wolraich et al. 2019).

National Institute for Health and Care Excellence (NICE)

NICE, published guidance on the use of a transcutaneous electrical stimulation of the supraorbital nerve for treating and preventing migraine in 2022. The guidance indicates that the evidence on the safety of transcutaneous electrical stimulation of the supraorbital nerve for treating and preventing migraine is adequate and raises no major safety concerns. For efficacy, the evidence for treating an acute migraine attack is adequate but, for treating subsequent attacks, is limited in quality and quantity. So, for treating acute migraine, this procedure should only be used with special arrangements for clinical governance, consent, and audit or research. The evidence for preventing migraine is inadequate in quality. So, for preventing migraine, this procedure should only be used.

U.S. Food and Drug Administration (FDA)

This section is to be used for informational purposes only. FDA approval alone is not a basis for coverage.

Implantable Vagus Nerve Stimulators

The FDA has approved a number of Implantable Vagus Nerve Stimulator devices. Refer to the following website for more information (use product codes LYJ, MUZ and QPY): <u>https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMA/pma.cfm</u>. (Accessed October 1, 2024)

Transcutaneous (Non-Implantable) Vagus Nerve Stimulation Devices

The FDA has approved a number of devices used for Transcutaneous (Non-Implantable) Vagus Nerve Stimulation. Refer to the following website for more information (use product codes PKR and QAK) https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmn.cfm. (Accessed October 1, 2024)

External or Transcutaneous Trigeminal Nerve Stimulation

The FDA has approved a number of devices used for External or Transcutaneous Trigeminal Nerve Stimulation. Refer to the following website for more information <u>https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMN/pmn.cfm</u> (use product codes PCC and QGL). (Accessed October 1, 2024)

To locate marketing clearance information for a specific device or manufacturer, search the Center for Devices and Radiological Health (CDRH) <u>510(k)</u> database or the <u>Premarket Approval (PMA)</u> database by product and/or manufacturer name.

References

Aaronson ST, Sears P, Ruvuna F, et al. A 5-year observational study of patients with treatment-resistant depression treated with vagus nerve stimulation or treatment as usual: comparison of response, remission, and suicidality. Am J Psychiatry. 2017 Jul 1;174(7):640-648.

 Vagus and External Trigeminal Nerve Stimulation (for Kentucky Only)
 Page 11 of 15

 UnitedHealthcare Community Plan Medical Policy
 Effective 04/01/2025

 Proprietary Information of UnitedHealthcare. Copyright 2025 United HealthCare Services, Inc.

Ailani J, Burch RC, Robbins MS; Board of Directors of the American Headache Society. The American Headache Society Consensus Statement: Update on integrating new migraine treatments into clinical practice. Headache. 2021 Jul;61(7):1021-1039.nic.

American Headache Society. The American Headache Society Position Statement On Integrating New Migraine Treatments Into Clinical Practice. Headache. 2019 Jan;59(1):1-18.

Barbella G, Cocco I, Freri E, et al. Transcutaneous vagal nerve stimulation (t-VNS): An adjunctive treatment option for refractory epilepsy. Seizure. 2018 Jun 18;60:115-119.

Bauer S, Baier H, Baumgartner C, et al. Transcutaneous Vagus Nerve Stimulation (tVNS) for Treatment of Drug-Resistant Epilepsy: A Randomized, Double-Blind Clinical Trial (cMPsE02). Brain Stimul. 2016 May-Jun;9(3):356-63.

Boon P, De Cock E, Mertens A, et al. Neurostimulation for drug-resistant epilepsy: a systematic review of clinical evidence for efficacy, safety, contraindications and predictors for response. Curr Opin Neurol. 2018 Apr;31(2):198-210.

Bottomley JM, LeReun C, Diamantopoulos A, Mitchell S, Gaynes BN. Vagus nerve stimulation (VNS) therapy in patients with treatment resistant depression: A systematic review and meta-analysis. Compr Psychiatry. 2019 Dec 12;98:152156.

Cecchini AP, Mea E, Tullo V, et al. Vagus nerve stimulation in drug-resistant daily chronic migraine with depression: preliminary data. Neurol Sci. 2009 May;30 Suppl 1:S101-4.

Chou DE, Shnayderman Yugrakh M, Winegarner D, et al. Acute migraine therapy with external trigeminal neurostimulation (ACME): A randomized controlled trial. Cephalalgia. 2019 Jan;39(1):3-14.

Cimpianu CL, Strube W, Falkai P, et al. Vagus nerve stimulation in psychiatry: a systematic review of the available evidence. J Neural Transm (Vienna). 2017 Jan;124(1):145-158.

Costa V, Gianlorenço AC, Andrade MF, et al. Transcutaneous vagus nerve stimulation effects on chronic pain: systematic review and meta-analysis. Pain Rep. 2024 Aug 7;9(5):e1171.

Courties A, Berenbaum F, Sellam J. Vagus nerve stimulation in musculoskeletal diseases. Joint Bone Spine. 2021 May;88(3):105149.

Dawson J, Engineer ND, Prudente CN, et al. Vagus nerve stimulation paired with upper-limb rehabilitation after stroke: one-year follow-up. Neurorehabil Neural Repair. 2020 Jul;34(7):609-615.

Dawson J, Liu CY, Francisco GE, et al. Vagus nerve stimulation paired with rehabilitation for upper limb motor function after ischaemic stroke (VNS-REHAB): a randomised, blinded, pivotal, device trial. Lancet. 2021 Apr 24;397(10284):1545-1553.

de Coo IF, Marin JC, Silberstein SD, et al. Differential efficacy of non-invasive vagus nerve stimulation for the acute treatment of episodic and chronic cluster headache: A meta-analysis. Cephalalgia. 2019 Jul;39(8):967-977.

De Ferrari GM, Stolen C, Tuinenburg AE, et al. Long-term vagal stimulation for heart failure: Eighteen month results from the NEural Cardiac TherApy foR Heart Failure (NECTAR-HF) trial. Int J Cardiol. 2017 Oct 1;244:229-234.

Diener HC, Goadsby PJ, et al. Non-invasive vagus nerve stimulation (nVNS) for the preventive treatment of episodic migraine: The multicentre, double-blind, randomised, sham-controlled PREMIUM trial. Cephalalgia. 2019 Oct;39(12):1475-1487.

ECRI. Custom Product Brief- Symmetry Vagus Nerve Stimulation Therapy System (LivaNova plc) for Treatment-resistant Depression. Plymouth Meeting (PA): ECRI; 2020 Apr 29.

ECRI. gammaCore Sapphire Noninvasive Vagus Nerve Stimulator (electroCore, LLC) for Treating and Preventing Migraines. Plymouth Meeting (PA): ECRI; 2021 Feb 5. (Clinical Evidence Assessment).

ECRI. Vivistim Paired VNS System (MicroTransponder, Inc.) for improving upper limb function after stroke. Plymouth Meeting (PA): ECRI; 2021 Sep. (Clinical Evidence Assessment).

Fang J, Egorova N, Rong P, et al. Early cortical biomarkers of longitudinal transcutaneous vagus nerve stimulation treatment success in depression. Neuroimage Clin. 2016 Dec 18;14:105-111.

Fernández-Hernando D, Fernández-de-Las-Peñas C, Machado-Martín A et al. Effects of Non-Invasive Neuromodulation of the Vagus Nerve for Management of Tinnitus: A Systematic Review with Meta-Analysis. J Clin Med. 2023 May 25;12(11):3673.

Fernández-Hernando D, Justribó Manion C, Pareja JA, et al. Effects of non-invasive neuromodulation of the vagus nerve for the management of cluster headache: A systematic review. J Clin Med. 2023 Sep 30;12(19):6315.

Gaul C, Diener HC, Silver N, et al. PREVA Study Group. Non-invasive vagus nerve stimulation for PREVention and Acute treatment of chronic cluster headache (PREVA): A randomised controlled study. Cephalalgia. 2016 May;36(6):534-46.

Gaul C, Magis D, Liebler E, et al. Effects of non-invasive vagus nerve stimulation on attack frequency over time and expanded response rates in patients with chronic cluster headache: a post hoc analysis of the randomised, controlled PREVA study. J Headache Pain. 2017 Dec;18(1):22.

Gaynes BN, Lux L, Lloyd S, et al. Nonpharmacologic Interventions for Treatment-Resistant Depression in Adults. Comparative Effectiveness Review No. 33. (Prepared by RTI International-University of North Carolina (RTI-UNC) Evidence based Practice Center under Contract No. 290-02-0016I.) AHRQ Publication No. 11-EHC056-EF. Rockville, MD: Agency for Healthcare Research and Quality. September 2011.

Generoso MB, Taiar IT, Garrocini LP, et al. Effect of a 10-day transcutaneous trigeminal nerve stimulation (TNS) protocol for depression amelioration: A randomized, double blind, and sham-controlled phase II clinical trial. Epilepsy Behav. 2019 Apr 23;95:39-42.

George MS, Ward HE Jr, Ninan PT, et al. C. A pilot study of vagus nerve stimulation (VNS) for treatment-resistant anxiety disorders. Brain Stimul. 2008 Apr;1(2):112-21.

Gil-López F, Boget T, Manzanares I, et al. External trigeminal nerve stimulation for drug resistant epilepsy: A randomized controlled trial. Brain Stimul. 2020 Sep-Oct;13(5):1245-1253.

Goadsby PJ, de Coo IF, Silver N, et al. ACT2 Study Group. Non-invasive vagus nerve stimulation for the acute treatment of episodic and chronic cluster headache: A randomized, double-blind, sham-controlled ACT2 study. Cephalalgia. 2018 Apr;38(5):959-969.

Gold MR, Van Veldhuisen DJ, Hauptman PJ, et al. Vagus Nerve Stimulation for the Treatment of Heart Failure: The INOVATE-HF Trial. J Am Coll Cardiol. 2016 Jul 12;68(2):149-58.

Guideline Development Panel for the Treatment of Depressive Disorders. Summary of the clinical practice guideline for the treatment of depression across three age cohorts. Am Psychol. 2022 Sep;77(6):770-780.

Hayes, Inc. Hayes Medical Technology Directory Report. Vagus Nerve Stimulation for Treatment-Resistant Depression. Lansdale, PA: Hayes, Inc., February 2019, Reviewed January 2022.

Hayes, Inc. Health Technology Assessment. Noninvasive Vagus Nerve Stimulation with gammaCore for Prevention or Treatment of Cluster Headache. Lansdale, PA: Hayes, Inc., May 2020, Reviewed June 2023.

Hein E, Nowak M, Kiess O, et al. Auricular transcutaneous electrical nerve stimulation in depressed patients: a randomized controlled pilot study. J Neural Transm (Vienna). 2013 May;120(5):821-7.

Huang F, Dong J, Kong J, et al. Effect of transcutaneous auricular vagus nerve stimulation on impaired glucose tolerance: a pilot randomized study. BMC Complement Altern Med. 2014 Jun 26;14:203.

Jackowska M, Koenig J, Vasendova V, et al. A two-week course of transcutaneous vagal nerve stimulation improves global sleep: Findings from 20 randomised trial in community-dwelling adults. Auton Neurosci. 2022 Jul;240:102972.

Jain SV, Glauser TA. Effects of epilepsy treatments on sleep architecture and daytime sleepiness: an evidence-based review of objective sleep metrics. Epilepsia. 2014 Jan;55(1):26-37.

Kreuzer PM, Landgrebe M, Resch M, et al. Feasibility, safety and efficacy of transcutaneous vagus nerve stimulation in chronic tinnitus: an open pilot study. Brain Stimul. 2014 Sep-Oct;7(5):740-7.

Lam RW, Kennedy SH, Adams C, et al. Canadian Network for Mood and Anxiety Treatments (CANMAT) 2023 Update on Clinical Guidelines for Management of Major Depressive Disorder in Adults: Can J Psychiatry. 2024 Sep;69(9):641-687.

Lampros M, Vlachos N, Zigouris A, et al. Transcutaneous vagus nerve stimulation (t-VNS) and epilepsy: A systematic review of the literature. Seizure. 2021 Oct;91:40-48.

Lange G, Janal MN, Maniker A, et al. Safety and Efficacy of Vagus Nerve Stimulation in Fibromyalgia: A Phase I/II Proof of Concept Trial. Pain Med. 2011 Aug 3. doi: 10.1111/j.1526-4637.2011.01203.x.

Levy ML, Levy KM, Hoff D, et al. Vagus nerve stimulation therapy in patients with autism spectrum disorder and intractable epilepsy: results from the vagus nerve stimulation therapy patient outcome registry. J Neurosurg Pediatr. 2010 Jun;5(6):595-602.

Liu J, Fang J, Wang Z, et al. Transcutaneous vagus nerve stimulation modulates amygdala functional connectivity in patients with depression. J Affect Disord. 2016 Nov 15;205:319-326.

Liu Y, Wei X, Wang L, et al Efficacy and safety of transcutaneous auricular vagus nerve stimulation for frequent premature ventricular complexes: rationale and design of the TASC-V trial. BMC Complement Med Ther. 2024 Jul 29;24(1):288.

McGough JJ, Sturm A, Cowen J, et al. Double-blind, sham-controlled, pilot study of trigeminal nerve stimulation for attention-deficit/hyperactivity disorder. J Am Acad Child Adolesc Psychiatry. 2019 Apr;58(4):403-411.e3.

Merrill CA, Jonsson MA, Minthon L, et al. Vagus nerve stimulation in patients with Alzheimer's disease: Additional followup results of a pilot study through 1 year. J Clin Psychiatry. 2006 Aug;67(8):1171-8.

Miner JR, Lewis LM, Mosnaim GS, et al. Feasibility of percutaneous vagus nerve stimulation for the treatment of acute asthma exacerbations. Acad Emerg Med. 2012 Apr;19(4):421-9. doi: 10.1111/j.1553-2712.2012.01329.x.

Najib U, Smith T, Hindiyeh N, Saper J, et al. Non-invasive vagus nerve stimulation for prevention of migraine: The multicenter, randomized, double-blind, sham-controlled PREMIUM II trial. Cephalalgia. 2022 Jun;42(7):560-569.

Napadow V, Edwards RR, Cahalan CM, et al. Evoked pain analgesia in chronic pelvic pain patients using respiratorygated auricular vagal afferent nerve stimulation. Pain Med. 2012 Jun;13(6):777-89.

National Institute for Health and Care Excellence (NICE). Transcutaneous electrical stimulation of the supraorbital nerve for treating and preventing migraine. Interventional procedures guidance (IPG740). Published October 2022.

National Institute for Health and Care Excellence (NICE). Transcutaneous stimulation of the cervical branch of the vagus nerve for cluster headache and migraine. Interventional procedures guidance (IPG552). March 2016.

National Institute for Health and Care Excellence (NICE). Vagus nerve stimulation for treatment-resistant depression. August 2020.

Nearing BD, Libbus I, Carlson GM, et al. Chronic vagus nerve stimulation is associated with multi-year improvement in intrinsic heart rate recovery and left ventricular ejection fraction in ANTHEM-HF. Clin Auton Res. 2021 Jun;31(3):453-462.

Osoegawa C, Gomes JS, Grigolon RB, et al. Non-invasive brain stimulation for negative symptoms in schizophrenia: An updated systematic review and meta-analysis. Schizophr Res. 2018 Jan 31. pii: S0920-9964(18)30031-8.

Pintea B, Hampel K, Boström J, et al. Extended long-term effects of cervical vagal nerve stimulation on headache intensity/frequency and affective/cognitive headache perception in drug resistant complex-partial seizure patients. Neuromodulation. 2017 Jun;20(4):375-382.

Premchand RK, Sharma K, Mittal S, et al. Extended Follow-Up of Patients with Heart Failure Receiving Autonomic Regulation Therapy in the ANTHEM-HF Study. J Card Fail. 2016 Aug;22(8):639-42.

Rapinesi C, Kotzalidis GD, Ferracuti S, Sani G, Girardi P, Del Casale A. Brain stimulation in obsessive-compulsive disorder (OCD): A Systematic Review. Curr Neuropharmacol. 2019;17(8):787-807.

Reuter U, McClure C, Liebler E, et al. Non-invasive neuromodulation for migraine and cluster headache: a systematic review of clinical trials. J Neurol Neurosurg Psychiatry. 2019 Mar 1. pii: jnnp-2018-320113.

Robbins MS, Starling AJ, Pringsheim TM, et al. Treatment of cluster headache: The American Headache Society Evidence-Based Guidelines. Headache. 2016 Jul;56(7):1093-106.

Rong P, Liu J, Wang L, et al. Effect of transcutaneous auricular vagus nerve stimulation on major depressive disorder: A nonrandomized controlled pilot study. J Affect Disord. 2016 May;195:172-9.

Silberstein SD, Calhoun AH, Lipton RB, et al.; EVENT Study Group. Chronic migraine headache prevention with noninvasive vagus nerve stimulation: The EVENT study. Neurology. 2016b Aug 2;87(5):529-38.

Silberstein SD, Mechtler LL, Kudrow DB, et al.; ACT1 Study Group. Non-invasive vagus nerve stimulation for the acute treatment of cluster headache: findings from the randomized, double-blind, sham-controlled ACT1 study. Headache. 2016a Sep;56(8):1317-32.

Song D, Li P, Wang Y, Cao J. Noninvasive vagus nerve stimulation for migraine: a systematic review and meta-analysis of randomized controlled trials. Front Neurol. 2023 May 11;14:1190062.

Stanak M, Wolf S, Jagoš H, Zebenholzer K. The impact of external trigeminal nerve stimulator (e-TNS) on prevention and acute treatment of episodic and chronic migraine: A systematic review. J Neurol Sci. 2020 May 15;412.

Stavrakis S, Humphrey MB, Scherlag BJ, et al. Low-level transcutaneous electrical vagus nerve stimulation suppresses atrial fibrillation. J Am Coll Cardiol. 2015 Mar 10;65(9):867-75.

Stavrakis S, Stoner JA, Humphrey MB, et al. TREAT AF (transcutaneous electrical vagus nerve stimulation to suppress atrial fibrillation): A randomized clinical trial. JACC Clin Electrophysiol. 2020 Mar;6(3):282-291.

Steyn E, Mohamed Z, Husselman C. Non-invasive vagus nerve stimulation for the treatment of acute asthma exacerbations-results from an initial case series. Int J Emerg Med. 2013 Mar 19;6(1):7.

Straube A, Ellrich J, Eren O, et al. Treatment of chronic migraine with transcutaneous stimulation of the auricular branch of the vagal nerve (auricular t-VNS): a randomized, monocentric clinical trial. J Headache Pain. 2015;16:543.

Tan C, Qiao M, Ma Y, et al. The efficacy and safety of transcutaneous auricular vagus nerve stimulation in the treatment of depressive disorder: A systematic review and meta-analysis of randomized controlled trials. J Affect Disord. 2023 Sep 15;337:37-49.

Tassorelli C, Grazzi L, de Tommaso M, et al. PRESTO Study Group. Noninvasive vagus nerve stimulation as acute therapy for migraine: The randomized PRESTO study. Neurology. 2018 Jun 15. pii: 10.1212/WNL.00000000005857.

Tzadok M, Harush A, Nissenkorn A, Zauberman Y, Feldman Z, Ben-Zeev B. Clinical outcomes of closed-loop vagal nerve stimulation in patients with refractory epilepsy. Seizure. 2019 Oct;71:140-144.

Wang X, Ding Q, Li T, Li W, Yin J, Li Y, Li Y, Zhuang W. Application of vagus nerve stimulation on the rehabilitation of upper limb dysfunction after stroke: a systematic review and meta-analysis. Front Neurol. 2023 Jun 21;14:1189034.

Wolraich ML, Hagan JF Jr, Allan C, et al.; Subcommittee on children and adolescents with attention-deficit/hyperactive disorder. Clinical practice guideline for the diagnosis, evaluation, and treatment of attention-deficit/hyperactivity disorder in children and adolescents. Pediatrics. 2019 Oct;144(4):e20192528.

Ylikoski J, Lehtimäki J, Pirvola U, et al. Non-invasive vagus nerve stimulation reduces sympathetic preponderance in patients with tinnitus. Acta Otolaryngol. 2017 Apr;137(4):426-431.

Zannad F, De Ferrari GM, Tuinenburg AE, et al. Chronic vagal stimulation for the treatment of low ejection fraction heart failure: results of the NEural Cardiac TherApy foR Heart Failure (NECTAR-HF) randomized controlled trial. Eur Heart J. 2015 Feb 14;36(7):425-33.

Policy History/Revision Information

Date	Summary of Changes
04/01/2025	 Coverage Rationale Noninvasive Trigeminal and Vagus Nerve Stimulators Revised list of unproven and not medically necessary devices: Replaced "transcutaneous (non-implantable) vagus nerve stimulation (e.g., gammaCore[®] for headaches)" with "transcutaneous (non-implantable) vagus nerve stimulation devices" Removed list of examples of external or transcutaneous (non-implantable) trigeminal nerve stimulation devices
	 Supporting Information Updated <i>Description of Services</i>, <i>Clinical Evidence</i>, <i>FDA</i>, and <i>References</i> sections to reflect the most current information Archived previous policy version CS129KY.09

Instructions for Use

This Medical Policy provides assistance in interpreting UnitedHealthcare standard benefit plans. When deciding coverage, the federal, state, or contractual requirements for benefit plan coverage must be referenced as the terms of the federal, state, or contractual requirements for benefit plan coverage may differ from the standard benefit plan. In the event of a conflict, the federal, state, or contractual requirements for benefit plan coverage govern. Before using this policy, please check the federal, state, or contractual requirements for benefit plan coverage. UnitedHealthcare reserves the right to modify its Policies and Guidelines as necessary. This Medical Policy is provided for informational purposes. It does not constitute medical advice.

UnitedHealthcare uses InterQual[®] or for the primary medical/surgical criteria, and the American Society of Addiction Medicine (ASAM) for substance use, in administering health benefits. If InterQual[®] does not have applicable criteria, UnitedHealthcare may also use UnitedHealthcare Medical Policies, Coverage Determination Guidelines, and/or Utilization Review Guidelines that have been approved by the Kentucky Department for Medicaid Services. The UnitedHealthcare Medical Policies, Coverage Determination Guidelines, and Utilization Review Guidelines are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.